Application No. 10/562,615 Docket No. 428.1145

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: SZNAIDMAN, Marcos L. Art Unit: 1628

Re: Application of: KIM, Myung-Hwa, et al.

Serial No.: 10/562,615

Filed: July 27, 2006

For: TRICYCLIC DERIVATIVES OR

PHARMACEUTICALLY SALTS THEREOF, THEIR

PREPARATIONS AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM

Confirmation No.: 6138

AMENDMENT / RESPONSE

Commissioner for Patents April 20, 2011

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action dated October 20, 2010, the following amendments and remarks are provided.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

CERTIFICATE

Thereby Certify that this correspondence is being EFS-Web or facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 20, 2011.

LUCAS & MERCANTI, LLP

BY: /Yun H. Choe/ Yun H. Choe